

SETTLEMENT AGREEMENT

This agreement is made and entered into by and between the State Board of Examiners of Architects (hereinafter "BOARD") and William G. Carter, Jr. (hereinafter "CARTER") on the 16TH day of DECEMBER, 1993.

WHEREAS, a disagreement has arisen between CARTER and the BOARD regarding alleged violation(s) by CARTER of R.C. 4703.06 and R.C. 4703.18, arising out of CARTER's allegedly providing and holding himself out as providing architectural services in Ohio in conjunction with or through P & D Builders, Inc. at a time during which P & D Builders, Inc. was not licensed to provide architectural services by the Board; and

WHEREAS, the BOARD has initiated an investigation of the alleged violation(s) by CARTER; and

WHEREAS, the BOARD and CARTER desire to resolve the above mentioned disagreement without formal legal proceedings.

NOW THEREFORE, in consideration of the various promises and mutual covenants contained herein, the BOARD and CARTER agree as follows:

1. CARTER hereby agrees not to enter upon or engage in the practice of architecture through P & D Builders, Inc., for the benefit of third-party owners in the absence of a direct contract between the third-party owner and CARTER until such time as P & D Builders, Inc. is in compliance with the provisions of R.C. 4703.01 to R.C. 4703.19, inclusive. CARTER may provide P & D Builders, Inc. with architectural services on all projects in which P & D

Builders, Inc. is the owner of the building. CARTER may otherwise engage in the practice of architecture and hold himself out as an architect or advertise in any way the providing of architectural services, all as permitted in R.C. §4703.16, et seq.; and

2. The BOARD agrees not to initiate any legal action or proceeding against CARTER pursuant to R.C. 4703.181, R.C. 4703.99, or R.C. 4703.07 as a result of CARTER's association until the present date with P & D Builders, Inc.; and

3. This Settlement Agreement is not intended to be and is not deemed to be evidence of nor an admission of any violation of law on the part of CARTER, the same being expressly denied, but constitutes a compromise and settlement of a disputed matter between the parties and operates as a release of all claims of CARTER against the Board, its members, employees, agents and any agency, instrumentality or political subdivision of the State of Ohio, known or unknown, that participated in the above-referenced investigation or settlement of this dispute giving rise to the alleged liability of CARTER for the alleged violation(s) herein; and

4. The BOARD and CARTER acknowledge that the facts upon which this agreement is made may hereafter prove to be other or different from the facts now known or believed to be true. The BOARD and CARTER mutually assume the risk of the facts proving to be different and each party agrees that all terms of this Settlement Agreement shall be binding in all respects and not subject to termination or rescission by reason of any such difference in facts; and

5. The BOARD and CARTER agree that the terms of this agreement bind the parties hereto, their successors, assigns and the like; and

6. This agreement:

- a. contains the entire agreement between the parties, and it is expressly understood and agreed that no promises, provisions, terms, warranties, conditions or obligations whatever, either expressed or implied, other than herein set forth, shall be binding on any of the parties;
- b. shall be governed by the laws of the State of Ohio, this transaction bearing a reasonable relation to said state.

7. It is hereby understood that this Settlement Agreement shall not be binding on any of the parties unless it has been signed and executed by the parties below. If the parties are unable to reach an agreement on the terms herein, or the Settlement Agreement is rejected by the BOARD, this document shall become null and void without any force or effect. Signature by the Executive Secretary of the Board on this document does not indicate approval or execution of this agreement by the BOARD. The BOARD's approval shall be designated by a majority vote of the BOARD at a board meeting at which this agreement is considered.

8. This document shall be considered a public record in accordance with R.C. 149.43.

STATE BOARD OF EXAMINERS
OF ARCHITECTS

BY: William G. Carter, Jr.
WILLIAM G. CARTER, JR.

BY: William N. Wilcox
WILLIAM N. WILCOX
Executive Secretary

DATE: DEC 16, 1993

DATE: 2/11/94