IN THE MATTER OF:	:	File No. 05-36
	:	
	:	
David E. Hawkins, Cert. No. 7945	:	
4943 Almont Drive	:	
Columbus, Ohio 43229	:	June 6, 2005
	:	
	:	

Settlement Agreement

This settlement agreement (hereinafter "Agreement") is made and entered into by and between the STATE OF OHIO, BOARD OF EXAMINERS OF ARCHITECTS, (hereinafter the "**BOARD**"), located at 77 South High Street, 16th Floor, Columbus, Ohio 43215-6108, a state agency charged with enforcing Ohio Revised Code Chapter 4703, and DAVID E. HAWKINS, Cert. No. 7945 (hereinafter "**RESPONDENT**"), whose mailing address is 4943 Almont Drive, Columbus, Ohio 43229.

WHEREAS, a disagreement has arisen between **RESPONDENT** and the **BOARD** regarding **RESPONDENT's** alleged violation(s) of Ohio Revised Code sections 4703.06, 4703.14, 4703.18 (A) and 4703.18 (H) arising out of **RESPONDENT's** failure to renew his certificate of qualification to practice architecture in the state of Ohio and engaging in the practice of architecture in the state of Ohio during a period in which his certificate of qualification had lapsed.

RESPONDENT's certificate of qualification lapsed December 31, 2001, yet **RESPONDENT** allegedly provided architectural services, consultation and advice during the period in which his certificate of qualification had been lapsed involving the "Johnson Residence" project located in Granville, Ohio on or about March 2005. Additionally, **RESPONDENT** held himself out as an architect and used his Ohio architectural stamp on code related correspondence involving the Johnson Residence.

WHEREAS, the **BOARD**, pursuant to O.R.C. 4703.03 (A), has initiated an investigation of the alleged violation(s) by **RESPONDENT**; and

WHEREAS, the **BOARD** and **RESPONDENT** desire to resolve the above-mentioned disagreement without formal legal proceedings.

NOW THEREFORE, in consideration of the various promises and mutual covenants contained herein, the **BOARD** and **RESPONDENT** agree as follows:

1. **RESPONDENT** hereby stipulates that the conduct recited above constitutes a violation of Ohio Revised Code sections 4703.06, 4703.14, 4703.18 (A) and 4703.18 (H).

2. **RESPONDENT** waives his right to a hearing, pursuant to Ohio Revised Code section 119.07 and any and all claims or causes of action he may have, including but not limited to, appeal from this agreement, or any order derived herefrom, or attorney fees, against the State of Ohio, the **BOARD** and its members, officers, employees and/or agents, arising out of matters which are subject of this agreement.

3. With respect to the allegations that **RESPONDENT** failed to renew his certificate of qualification to practice architecture in the state of Ohio and continued to engage in the practice of architecture during a period in which his certificate of qualification had lapsed, the Board will automatically reinstate **RESPONDENT**'s certificate of qualification after successful completion of the following terms:

   a. **RESPONDENT** must successfully complete each of the following (3) three NCARB Professional Development Monographs:
      - **Professional Conduct**
      - **Cracking the Codes: An Architect's Guide to Building Regulations**
      - **Fire Safety in Buildings**

   b. **RESPONDENT** must successfully complete the above (3) three Professional Development Monographs **no later than January 1, 2006** and submit copies of his certificates of completion to the Board **no later than January 31, 2006**.

4. If **RESPOPNDENT** successfully meets the required terms and the **BOARD** reinstates his certificate of qualification, **RESPONDENT** shall maintain a valid certificate of qualification to practice architecture if **RESPONDENT** is providing and offering architectural services in Ohio.

5. The **BOARD** will publish the discipline accepted by **RESPONDENT** in the Board newsletter.

6. **RESPONDENT** shall obey all federal, state and local laws, and all laws and administrative rules governing the practice of architecture in the state of Ohio and any United States jurisdiction where the **RESPONDENT** may hold a license to practice architecture. If **RESPONDENT** convicted of any criminal offense or violation of law other than minor misdemeanor traffic offenses within the next two (2) years, he shall report those facts and circumstances in writing to the Board within thirty (30) days from the conviction.

7. Should **RESPONDENT** fail to comply fully with the terms of this agreement, the **BOARD** reserves the right to institute formal legal proceedings for any and all possible violations or breaches, including but not limited to, alleged violations of the laws of Ohio occurring before the effective date of this agreement;

8. If the **BOARD** determines, in or at its discretion, that **RESPONDENT** has violated any terms of this agreement, the Board may impose any penalty provided for in Ohio Revised Code section 4703.151 after the **RESPONDENT** has been given written notice and the opportunity to be heard by the Board, with legal counsel, prior to the imposition of any penalty.

9. This agreement constitutes a compromise and settlement of a disputed matter between the parties, which is referenced in the second recital paragraph, and operates as a release of all claims of **RESPONDENT** against the **BOARD**, its members, employees, agents and any agency, instrumentality or political subdivision of the State of Ohio, known or unknown, that participated in the above referenced investigation of this dispute giving rise to the alleged liability of **RESPONDENT** for the alleged violation(s) herein; and

10. The **BOARD** and **RESPONDENT** agree that the terms of this agreement bind the parties hereto, their successors, assigns and the like; and

This agreement:

a. contains the entire agreement between the parties, and it is expressly understood and agreed that no promises, provisions, terms, warranties, conditions or obligations whatsoever, either expressed or implied, other than set forth, shall be binding on any of the parties;

b. shall be governed by the laws, rules, and courts of the State of Ohio.

11. This agreement may not be modified without the written approval of both parties.

12. This agreement is severable. If any provision of this agreement is declared void or invalid by any court, all other provisions of this agreement remain binding.

13. This provision is separable from all other provisions of the Agreement and is binding on **RESPONDENT** notwithstanding the provisions in paragraph (16) below. This Agreement is executed by the **RESPONDENT** for the purpose of avoiding further administrative action with respect to this case.

In this regard, **RESPONDENT** initiated settlement negotiations in order to attempt to resolve the disciplinary action filed against him. **RESPONDENT** authorized the **BOARD** to review and examine all investigative file material concerning this case prior to or in conjunction with consideration of this Agreement or counter-proposal by the **BOARD**.

Furthermore, should this Agreement not be accepted by the **BOARD**, it is agreed that presentation to and consideration of this Agreement, counter-proposal, or other documents and matters by the **BOARD,** shall not unfairly constitute or illegally prejudice the **BOARD** or any of its members from further participation, consideration, adjudication or resolution of these proceedings and in conjunction herewith **RESPONDENT** hereby waives any such defense or claim.

14. The **BOARD** and **RESPONDENT** agree that this is a final resolution of all charges, actions, claims or defenses of any kind whatsoever between the two parties, whether administrative, civil or criminal, related to the allegations brought by the **BOARD** against **RESPONDENT** pertaining to **RESPONDENT's** work to date; and

15. This Agreement shall be considered a public record in accordance with O.R.C. 149.43.

16. It is hereby understood that except for paragraph (13) above, which is binding on **RESPONDENT** by his signature alone, this Agreement shall not be binding on any of the parties unless it has been signed and executed by the parties below. If the parties are unable to reach an Agreement on the terms herein, or the Agreement is rejected by the **BOARD**, this Agreement shall become null and void without any force or effect.

The Board's approval shall be designated by a majority vote of the **BOARD** at a Board meeting at which this Agreement is considered.

17. **RESPONDENT** acknowledges that he has read this Settlement Agreement, that he has had an opportunity to discuss it with his attorney(s), that he fully understands this agreement, and that he is voluntarily signing this agreement.

RESPONDENT

BY: _____ DATE: 6.7.05

David E. Hawkins,
Cert. No. 7945

THIS SETTLEMENT AGREEMENT IS APPROVED IN FULL:

DATED THIS 13th DAY OF January, ~~2005~~. 2006

STATE OF OHIO
BOARD OF EXAMINERS OF ARCHITECTS

_____

AMY M. KOBE, CAE
EXECUTIVE DIRECTOR

The National Council of Architectural Registration Boards

acknowledges that

HAWKINS DAVID

has passed the quiz associated with

the Professional Development Program titled

Professional Conduct

10 Contact Hours in Health, Safety, & Welfare

Given under our hand and the Seal of the Council

NATIONAL COUNCIL OF ARCHITECTURAL REGISTRATION BOARDS

Stephen Nutt, AIA
Director, Professional Development

Monday, October 03, 2005

The National Council of Architectural Registration Boards

acknowledges that

DAVID E. HAWKINS

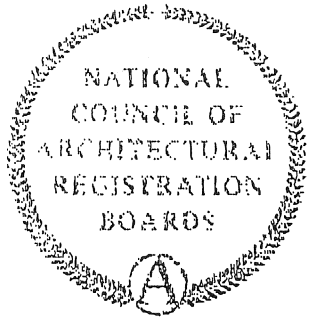
has passed the quiz associated with

the Professional Development Program titled

Cracking the Codes II

8 Contact Hours in Health, Safety, and Welfare

Given under our hand and the Seal of the Council



Stephen Nuce, AIA
Director, Professional
Development

Friday, December 30, 2005

The National Council of Architectural Registration Boards

acknowledges that

DAVID E. HAWKINS

has passed the quiz associated with

the Professional Development Program titled

Cracking the Codes I

8 Contact Hours in Health, Safety, and Welfare

Given under our hand and the Seal of the Council

Stephen Nutt, AIA
Director, Professional
Development

Sunday, December 25, 2005

The National Council of Architectural Registration Boards

acknowledges that

DAVID E. HAWKINS

has passed the quiz associated with

the Professional Development Program titled

Fire Safety in Buildings

10 Contact Hours in Health, Safety, & Welfare

Given under our hand and the Seal of the Council

NATIONAL COUNCIL OF ARCHITECTURAL REGISTRATION BOARDS

Stephen Nutt, AIA
Director, Professional Development

Monday, December 19, 2005