

OHIO ARCHITECTS BOARD

IN THE MATTER OF:	:	File No. 2014-34
	:	
Amy Carpenter, Cert. No. 11904	:	
6498 Skywae Drive	:	
Columbus, Ohio	:	

SETTLEMENT AGREEMENT

1. This agreement is made between Amy Carpenter, hereinafter ("Respondent") and the Ohio Architects Board, hereinafter ("Board").

2. The above parties hereby stipulate to the jurisdiction of the Board over this matter.

3. The Board enters into this Settlement Agreement in lieu of formal proceedings based upon alleged violations of Ohio Revised Code Sections 4703.15 (3), 4703.15 (5), and Ohio Administrative Code sections 4703-1-01 (C), 4703-3-01 (C), 4703-3-07 (E) (1) and 4703-3-09 (A) as listed in the Notice of Opportunity letter dated June 5, 2014, hereinafter ("Notice"), sent to Respondent by Amy M. Kobe, Executive Director of the Board.

4. The Board expressly reserves the right to institute formal proceedings based upon any other violations of Chapter 4703 of the Revised Code and the Ohio Administrative Code, whether occurring before or after the effective date of this agreement.

5. The parties agree that the terms of this agreement are a compromise and that the settlement agreement binds the parties hereto, their assigns and successors in interest. This is a disciplinary action that will be a part of Respondent's permanent Board record and is information that is available to the public.

6. As a result of an investigation conducted by the Board, Respondent was notified By Notice of Opportunity that the Board intended to determine whether or not to take disciplinary action against her certificate of qualification to practice architecture. Respondent is alleged to have aided and abetted unlicensed persons and or firms in the practice of architecture, stamped construction documents which she did not prepare and did not have professional knowledge or direct supervisory control of, and failed to use a written contract with her client for the Yazaki North America modular office project in Columbus, Ohio on or about March 2014.

7. Based upon the foregoing, as a full and complete settlement of this matter and in the mutual best interests of the parties, Respondent and the Board hereby agree to the following terms of settlement in Case No. 2014-34:

A. Respondent waives her right to an appeal and an opportunity to be heard pursuant to Chapter 119 of the Ohio Revised Code.

B. Respondent will pay in full, a monetary fine of \$3,000 / Three-Thousand Dollars no later than September 5, 2014. Check or money order made payable to the "Treasurer, State of Ohio". The Board also accepts payments via credit card.

C. Respondent will successfully complete the "*Ethics for Professional Architects - Part 1*" continuing education course offered by Red Vector and provide to the Board a copy of the certificate of completion no later than September 5, 2014. (*CE hours will not count towards the 2014 mandatory continuing education requirement*).

D. Respondent hereby agrees that she will not aid and abet unlicensed persons in the practice of architecture and that she will not sign or seal construction plans and documents which she did not author and did not have direct professional knowledge and supervisory control of.

F. Respondent hereby agrees that she will use written contracts with her clients for the provision of architecture services.

G. Respondent affirms that she has read and understands the Board's current laws & rules in Ohio Revised Code Chapter 4703 and Ohio Administrative Code Chapter 4703. Laws & rules can be found on the Board's website at [www.arc.ohio.gov](http://www.arc.ohio.gov)

H. Respondent shall obey all federal, state and local laws and all laws and administrative rules governing the practice of architecture in the state of Ohio and any United States jurisdiction where she may hold a license to practice architecture. If Respondent is convicted of any criminal offense or violation of law other than a minor misdemeanor offense within the next two (2) years, she shall report those facts and circumstances in writing to the Board within thirty (30) days from the conviction.

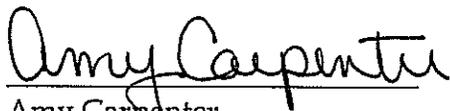
8. If Respondent violates any provision of the agreement or fails to abide by the terms or sanctions set forth above, Respondent agrees that the Board may suspend her certificate of qualification to practice architecture until such time as the terms or sanctions of the agreement are fully completed to the satisfaction of the Board.

9. It is hereby understood that this agreement shall not be binding on any of the parties unless it has been signed and executed by the parties below. If the parties are unable to reach an agreement on the terms herein, this document shall become null and void and without any force or effect.

10. Respondent waives any and all claims or causes of actions she may have against the Ohio Architects Board and its members, officers, employees and/or agents of either, arising out of the matters which are the subject of this settlement agreement.

11. This agreement shall be effective on the date it is approved by the Board and signed by the Board's Executive Director.

12. This document shall be considered a public record in accordance with Ohio Revised Code Section 149.43.

  
\_\_\_\_\_  
Amy Carpenter  
Cert. No. 11904

  
\_\_\_\_\_  
Amy M. Kobe, Hon. AIA  
Executive Director of the Board

Date: 6/30/14

Date: 10/17/14

# OHIO ARCHITECTS BOARD

IN THE MATTER OF: : File No. 2010-29  
: :  
Amy Carpenter, Cert. No. 11904 : :  
6498 Skywae Drive : :  
Columbus, Ohio 43229 : :

## SETTLEMENT AGREEMENT

1. This agreement is made between Amy Carpenter ("Carpenter"), and the Ohio Architects Board ("Board").

2. The above parties hereby stipulate to the jurisdiction of the Board over this matter.

3. The Board enters into this Settlement Agreement in lieu of formal proceedings based upon alleged violations of Ohio Revised Code Sections 4703.15 (A) (1), (2) and (3) and Ohio Administrative Code sections 4703-1-01 (C), 4703-1-11 (B), (G), 4703-3-07 (C) (4) and 4703-3-07 (E) (3), (4) as listed in the Notice of Opportunity letter dated September 20, 2010, hereinafter ("Notice"), sent to Carpenter by Amy M. Kobe, Executive Director of the Board.

4. The Board expressly reserves the right to institute formal proceedings based upon any other violations of Chapter 4703 of the Revised Code and the Ohio Administrative Code, whether occurring before or after the effective date of this agreement.

5. The parties agree that the terms of this agreement are a compromise and that the settlement agreement binds the parties hereto, their assigns and successors in interest. This agreement is not intended to be and is not deemed to be evidence of, or admission of, any violation of law on the part of Carpenter.

6. As a result of an audit conducted by the Board, Carpenter was notified by Notice that the Board intended to determine whether or not to issue a fine, reprimand, suspend or revoke her license to practice architecture in the State of Ohio based upon allegations that she failed to complete the Board's mandatory continuing education requirements prior to the renewal of her license for 2010-2011. Carpenter was audited for compliance of continuing education and was found to be deficient 24 hours of the required 24 hours for the years 2008-2009.

Additionally, Carpenter committed an act of fraud, misrepresentation of material facts and made misleading, deceptive or false statements or claims when she attested and certified with her personal signature on her 2010-2011 Ohio renewal application that she had completed the requirements of mandatory continuing education, when she in fact did not.

7. Based upon the foregoing, as a full and complete settlement of this matter and in the mutual best interests of the parties, Carpenter and the Board hereby agree to the following terms of settlement in Case No. 2010-29:

A. Carpenter waives her right to an appeal and an opportunity to be heard pursuant to Chapter 119 of the Ohio Revised Code.

B. Carpenter will pay in full, a monetary fine of \$1,000 / One-Thousand <sup>included</sup>  Dollars to the Board no later than October 20, 2010. Payment via credit card or check or money order made payable to the "Treasurer, State of Ohio".

C. Carpenter will complete and forward to the Board, verification of the remaining (24) hours of continuing education with (16) of those hours in the areas of health, safety & welfare no later than November 20, 2010. *I will send in.*

D. Carpenter hereby agrees that in the future, she will not renew her Ohio architect license until she has successfully completed all mandatory continuing education requirements.

E. Carpenter affirms that she has read and understands the Board's current laws & rules in Ohio Revised Code Chapter 4703 and Ohio Administrative Code Chapter 4703. Laws & rules can be found on the Board's website at: [www.arc.ohio.gov](http://www.arc.ohio.gov)

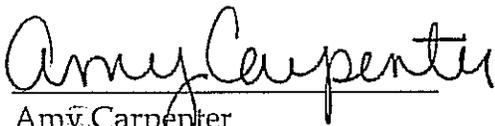
F. Carpenter shall obey all federal, state and local laws, and all laws and administrative rules governing the practice of architecture in the state of Ohio and any United States jurisdiction where she may hold a license to practice architecture. If Carpenter is convicted of any criminal offense or violation of law other than a minor misdemeanor offense within the next two (2) years, she shall report those facts and circumstances in writing to the Board within thirty (30) days from the conviction.

8. It is hereby understood that this agreement shall not be binding on any of the parties unless it has been signed and executed by the parties below. If the parties are unable to reach an agreement on the terms herein, this document shall become null and void and without any force or effect.

9. Carpenter waives any and all claims or causes of actions she may have against the State of Ohio, the Ohio Architects Board and its members, officers, employees and/or agents of either, arising out of the matters which are the subject of this settlement agreement.

10. This agreement shall be effective on the date it is approved by the Board and signed by the Board's Executive Director.

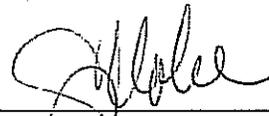
11. This document shall be considered a public record in accordance with Ohio Revised Code Section 149.43.



Amy Carpenter  
Cert. No. 11904

Date:

9/22/10



Amy M. Kobe, CAE, Hon. AIA  
Executive Director of the Board

Date:

11/18/10

Thank you for your help!  
I will send in credits soon! :)